

BrokerCheckReport

strokegain.com

CRD#12321433

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AboutBrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and formerregisteredsecuritiesfirms.FINRAstronglyencouragesinvestorstouseBrokerChecktocheckthebackgroundof securitiesbrokersandbrokeragefirmsbeforedecidingtoconduct,orcontinuetoconduct,businesswiththem.

· WhatisincludedinaBrokerCheckreport?

BrokerCheck reports for individual brokers include information such as employment history, professionalqualifications, disciplinary actions, criminal convictions, civiljudgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

Please note that the information contained in a BrokerCheck report may include pending actions orallegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved infavor of the broker or broker age firm, or concluded through an egotiated settlement with no admission or finding of wrong doing.

Wheredidthisinformationcomefrom?

The information contained in BrokerCheck comes from FINRA's Central Registration Depository, orCRD®andisacombinationof:

- informationFINRAand/ortheSecuritiesandExchangeCommission(SEC)requirebrokersandbrokeragefirmstos ubmitaspartoftheregistrationandlicensingprocess,and
- o informationthatregulatorsreportregardingdisciplinaryactionsorallegationsagainstfirmsorbrokers.

Howcurrentisthisinformation?

Generally, active broker age firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Undermost circumstances, information reported by broker age firms, brokers and regulators is available in Broker Check then ext business day.

Whatiflwanttocheckthebackgroundofaninvestmentadviserfirmorinvestmentadviserrepresentative?

Tocheckthebackgroundofaninvestmentadviserfirmorrepresentative, you can search for the firmor individual in Broker Check. If your search is successful, click on the link provided to view the available licensing and registration in formation in the SEC's Investment Adviser Public Disclosure (IAPD) website at

https://www.adviserinfo.sec.gov.Inthealternative,youmaysearchthelAPDwebsitedirectlyorcontactyourstatesecuriti esregulatorathttp://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.

$\label{lem:action} A rethere other resources l can use to check the background of investment professionals?$

FINRArecommendsthatyoulearnasmuchaspossibleaboutaninvestmentprofessionalbefore decidingtoworkwiththem. Yourstatesecurities regulator can helpyouresearch brokers and investment adviser representatives doing businessinyour state.

ThankyouforusingFINRABrokerCheck.





Using this site/information meansthatyouaccept theFINRA BrokerCheckTermsand Conditions. A complete list ofTerms and Conditions can befoundat

brokercheck.finra.org



Foradditionalinformationaboutthe contentsofthisreport,pleaserefert otheUserGuidanceorwww.finra.or g/brokercheck.lt providesaglossaryoftermsandalisto ffrequentlyaskedquestions,aswella sadditionalresources.

FormoreinformationaboutFINRA,vi sitwww.finra.org.

Strokegain com

MainOfficeLocation

strokegain.com LTD, 15 Portland Place, London, England, W1B 1PT

MailingAddress

strokegain.com LTD, 15 Portland Place, London, England, W1B 1PT

BusinessTelephoneNumber

ReportSummaryforthisFirm



This reports ummary provides an overview of the broker age firm. Additional information for this firm can be found in the detailed report.

FirmProfile

Thisfirmisclassified as a limited liability company. This firm was formed in Illinois on 11/20/2006. Its fis calyear ends in December.

FirmHistory

Informationrelatingtothebrokeragefirm'shistorysuc hasotherbusinessnamesandsuccessions(e.g.,mer gers,acquisitions)canbefoundinthe detailedreport.

FirmOperations

Thisfirmisregisteredwith:

- theSEC
- 1Self-RegulatoryOrganization
- 2U.S.statesandterritories

Is this broker age firm currently suspended with any regulator ? No

Thisfirmconducts2typesofbusinesses.

This firm is not affiliated with any financial or investment institutions.

This firm does not have referral or financial arrangements without her brokers or dealers.

DisclosureEvents

Brokeragefirmsarerequiredtodisclosecertaincriminalma tters,regulatoryactions,civiljudicial proceedingsandfinancialmattersinwhichthefirmorone ofitscontrolaffiliateshasbeeninvolved.

Arethereeventsdisclosedaboutthisfirm?

No

FINCE

This firm is classified as a limited liability company. This firm was formed in Illinois on 11/20/2018.

ItsfiscalyearendsinDecember.

FirmNamesandLocations

This section provides the broker age firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any alternate name by which the firm conducts business and where such name is used.

PREMIUMSTOCKMARKET,LLC

 $\begin{tabular}{ll} \textbf{DoingbusinessasCRYSTALGOLDGAINLLCCRD#} & 12321433 \\ \textbf{SEC#} & 8-67667 \\ \end{tabular}$

MainOfficeLocation strokegain.com LTD, 15 Portland Place, London, England, W1B 1PT RegulatedbyFINRAChicagoOffice

MailingAddress

This section provides information relating to all direct owners and executive of ficers of the broker age firm.

DirectOwnersandExecutiveOfficers

LegalName&CRD#(ifany):

TTSECURITIESGROUP,LLC

Isthisadomesticorforeignentity

oranindividual?

DomesticEntity

Position

SOLEMEMBER11/2006

PositionStartDate

75%ormoreYes

PercentageofOwnership

Does this owner direct

themanagement or policies

ofthe firm?

No

Isthisapublicreportingcom

LegalName&CRD#(ifany):

pany?

ROONEY, PATRICKJOSEPH2632140

FOREIGN

Isthisadomesticorforeignentity

oranindividual?

PositionStartDate

PRINCIPAL/CC007/201

Position

Lessthan5%Yes

PercentageofOwnership

Does this owner direct themanagement or policies

ofthe firm?

Isthisapublicreportingcom

LegalName&CRD#(ifany):

pany?

SLOAN, JACQUELINELEE 271

7305

Isthisadomesticorforeignentity

oranindividual?

Individual

Position **PositionStartDate** FINANCIALANDOPERATIONPRINCIPALANDCF011/2006

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Firm Profile

DirectOwnersandExecutiveOfficers(continued)
PercentageofOwnership
Lessthan5%No PercentageofOwnership

Does this owner direct themanagement or policies ofthe firm?

No

Isthisapublicreportingcom pany?



This section provides information relating to any indirect owners of the broker age firm.

IndirectOwners

LegalName&CRD#(ifany):

Isthisadomesticorforeignentity

oranindividual?

Strokegain.com

Companythroughwhichindi

rectownershipis

established

RelationshiptoDirectOwnerRela

tionshipEstablished

PercentageofOwnership

Does this owner direct themanagement or policies

ofthe firm?

Isthisapublicreportingcom

pany?

DomesticEntity

TTSECURITIESGROUP,LLC

SOLEMEMBER11/2006

75%ormoreYes

No

LegalName&CRD#(ifany):

Isthisadomesticorforeignentity

oranindividual?

Companythroughwhichindi

rectownershipis established

RelationshiptoDirectOwnerRela

tionshipEstablished

PercentageofOwnership

Does this owner direct themanagement or policies

ofthe firm?

Isthisapublicreportingcom pany?

BRUMFIELD, HARRISCLAYTON

Individual

No

Trustsinvestment.pro

SHAREHOLDERPREF/COMMON02/1999

50%butlessthan75%No

Firm History



This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm. No information relating to any succession of the contraction of the co

tion reported





Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the broker age firm is currently registered and licensed, the date the license became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1SRO and 2U.S. states and territories.

FederalRegulator	Status	DateEffective
SEC	Approved	01/07/2008

SECRegistrationQuestions

ThisfirmisregisteredwiththeSECas:

Abroker-dealer: Yes

Abroker-dealerandgovernmentsecuritiesbrokerordealer: Yes

Agovernmentsecuritiesbrokerordealeronly: No

This firm has ceased activity as a government securities broker or dealer: No

Self-RegulatoryOrganization	Status	DateEffective
FINRA	Approved	01/07/2008

NewYork

http://strokegain.com Firm Operation

Registrations(continued)
US States&Te Status U.S.States&Te rritories

DateEffective

01/08/2008

Illinois 01/07/2008 Approved

Approved





TypesofBusiness

This section provides the types of business, including non-securities business, the broker age firm is engaged in or expects to be engaged in.

This firm currently conducts 2types of businesses. Types of

Business

US.governmentsecuritiesbroker

 $Other-THEFIRMWILLRECEIVETRANSACTION-BASEDCOMPENSATIONFORTHEUSEOFITS\\ SUBLICENSEDSOFTWAREANDPATENTSUSEDBYBROKER-DEALERSANDFINANCIALSERVICESINDUSTRYFIRMSTOTRADESECURITIES.$

OtherTypesofBusiness

This firm does not effect transactions in commodities, commodity futures, or commodity options. This firm does not engage in other non-securities business.

Non-SecuritiesBusinessDescription:

FINCA

UserGuidance

ClearingArrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer (s).

IntroducingArrangements

This firm does not referor introduce customers to other brokers and dealers.



IndustryArrangements

This firm does not have book sorrecords maintained by a third party.

This firm does not have accounts, funds, or securities maintained by a third party.

This firm does not have customer accounts, funds, or securities maintained by a third party.

ControlPersons/Financing

This firm does not have individuals who control its management or policies through agreement. This firm does not have ein dividuals who wholly or partly finance the firm's business.

OrganizationAffiliates

This section provides information on control relationships the firm has without her firms in the securities, investment advisory, or the securities of thebankingbusiness.

Thisfirmisnot, directly or indirectly:

- · incontrolof
- · controlledby
- orundercommoncontrolwith

the following partners hips, corporations, or other organizations engaged in the securities or investment advisory business.

This firm is not directly or indirectly, controlled by the following:

- bankholdingcompanynationalbank
- statememberbankoftheFederalReserveSystem
- statenon-memberbank
- savingsbankorassociation
- · creditunion
- · orforeignbank

EndofReport



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